Personalised Service Agreement

(One per registered investor)

Chris Lee & Partners (CLP) provides *Personalised Services* (*Financial Advice*) to clients who request this service. A fee will be charged annually ($1,500 plus GST) and clients are required to complete a full information survey for us. If you wish to receive *Personalised Service* advice please read the following, sign and return this agreement to us. We will send a survey and invoice to you.

Financial advice is defined by the Financial Advisers Act (FAA) as '*A person gives financial advice if they make a recommendation or give an opinion in relation to acquiring or disposing of (including refraining from acquiring or disposing of) a financial product.*'

Code Standard 8 requires that when financial advice is given as part of a *Personalised Service* it must be done so on the basis of a suitability analysis including an up-to-date understanding of the client's financial situation, financial needs, financial goals (one or more), and tolerance for risk. After the initial thorough review and provision of financial advice it is likely that regular ongoing contact will be recognised as 'Limited Personalised Advice' on the basis that it usually involves only incremental change to the portfolio.

I acknowledge that if I do not pay a service fee, when due, that my service level will be recognised as a Broker Service from that point.

The CLP basis and scope of service is defined in our Secondary Disclosure Statement. A full copy of the Code of Professional Conduct is available on our website www.chrislee.co.nz

We recommend that you review your investments regularly. We are happy to assist, when asked. We encourage you to read our website articles and quarterly printed newsletters as part of your review process.

I confirm that I wish to receive *Personalised Financial Advice Services* from CLP.

I understand CLP will retain my CSN and encrypted FIN numbers to ensure smooth transactions (clients can opt out of this service);

I understand that all investment involves the risk of loss and that there is a relationship between risk and reward.

I confirm that I have received, and understand, your Primary and Secondary disclosure statements. I understand the scope and basis of business on offer.

Payment of $1,725.00 has been arranged to Chris Lee & Partners (ANZ 01-0731-0182987-00)
(A receipt will be forwarded upon receipt of payment. This fee may be tax deductable)

Name of Client:____

Address:____

Email:____

Date:__________________ Signed:____________________________